

# DESIGN ENTITLEMENT PROCEEDINGS

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Are you initiating a claim of ownership over a Registered Community Design (RCD)?

Is someone claiming to be entitled to your RCD?





#### **PROGRAMME**

# 30' Presentation

- What is entitlement for RCDs?
- Who decides on entitlement proceedings and the entries in the Register?
- Entries in the Register and their legal effect
- **Differences** between entitlement and transfer; and entitlement and invalidity

10' Questions and answers





# WHAT IS ENTITLEMENT? WHICH IS THE COMPETENT FORUM?





#### **Entitlement for RCDs**

#### What is Entitlement?

- the right to **claim ownership** and to be **recognised** as the legitimate holder of an RCD
- / the right to be registered as the holder of that RCD
- exercising the rights granted to that holder under the Community Design Regulation (CDR).

The registered holder of the RCD is considered to be entitled:

- in any proceedings before the EUIPO
- in any other proceedings





#### **Entitlement claim – Article 15 CDR**

#### **Entitlement claim**

- a claim of a person who is **not registered as a holder** in the Register, but
- states to be the legitimate holder of an RCD and
- files a claim before a competent forum.





#### **Entitlement claim – main questions**

- Who can file a claim for entitlement?
- Which is the competent forum for the entitlement claim?
- Under what conditions could the claim be filed?





#### Who can submit an entitlement claim?

The person/entity entitled to the RCD under Article 14 CDR can file an entitlement claim:

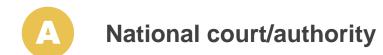
- legitimate designer or their successor in title
- **joint designers**
- employer





#### **POLL**

#### Which is the competent forum for the entitlement claim?











#### Forum for the entitlement claim



**Competent court of a Member State,** such as **specialised courts**, where the matter of entitlement was raised, for example, in cases of employment or family matters.



Competent authority of a Member State, such as administrative offices or specialised bodies.

The forum depends on the national law of the relevant Member State







#### **Timeframe**

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The claim should be filed before the end of the third year from the date of publication of the RCD.

#### **Exception to the timeframe**

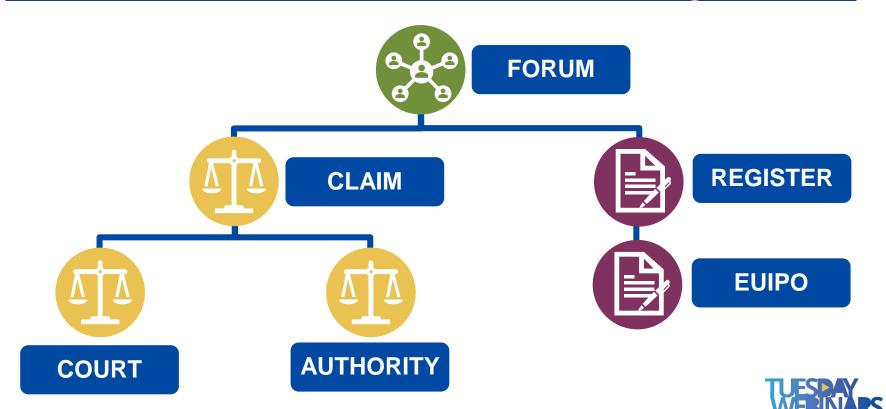
Where the registered holder was acting in bad faith when applying for the design.







#### Forums for the entitlement claim. Forum for the entries in the Register.





# 2 ENTRIES IN THE REGISTER





#### Who can request an entry in the Register?

#### The entry in the Register could be requested by:

- the RCD holder(s)
- person(s) claiming to be the legitimate holder
- the competent national court or authority







#### What entries are made in the Register?

The EUIPO registers three types of entries on the entitlement claims:

1

#### **Initiation of proceedings**

The legal proceedings for entitlement have been initiated before the competent court or authority of a Member State;







#### What entries are made in the Register?

The EUIPO registers **three types** of entries on the entitlement claims:

2

#### **Final decision**

The date and particulars of the final decision (or any other termination of the proceedings) of the competent court or authority;







#### What entries are made in the Register?

The EUIPO registers **three types** of entries on the entitlement claims:

3

#### **Change of ownership**

Of the RCD resulting from the **final decision** of the **competent court or authority**.







#### Request and evidence for entries in the Register

#### The request must be accompanied by evidence:

- for initiation of proceedings, evidence that shows the court or authority before which entitlement proceedings were initiated;
- for entry of the final decision, the final decision on the entitlement of the competent court or authority;
- for the change of ownership, the final decision on the entitlement of the competent court or authority



No fees are required! But hurry up to ask for your entry and safeguard your rights!



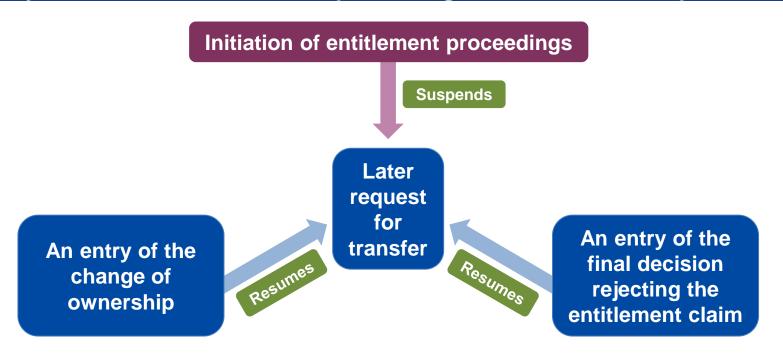


# LEGAL EFFECTS OF THE ENTRIES IN THE REGISTER





#### Entry on initiation of entitlements proceedings – effect on other requests



**REGISTERED BY THE EUIPO** 





#### Entry on the change of ownership – effect on licences and other rights

**ENTRY** on CHANGE of OWNERSHIP

Licences and other rights cease to exist

The licensee/ the former holder may ask for a new non-exclusive licence





#### **POLL**

If I am a former licensee, who should I ask for a new licence?

- The former RCD holder
- The new legitimate holder
- The EUIPO

The licence agreement is settled between the parties under the law of the Member State







#### Requesting a new licence – Article 16(2) CDR and Article 24(5) CDIR

### WHO can request a new licence?

- the former RCD holder(s)
- a licensee

### WHEN?

 Within 3 months of the date of entering in the Register of the new holder

#### **Under WHAT conditions?**

#### The requestor:

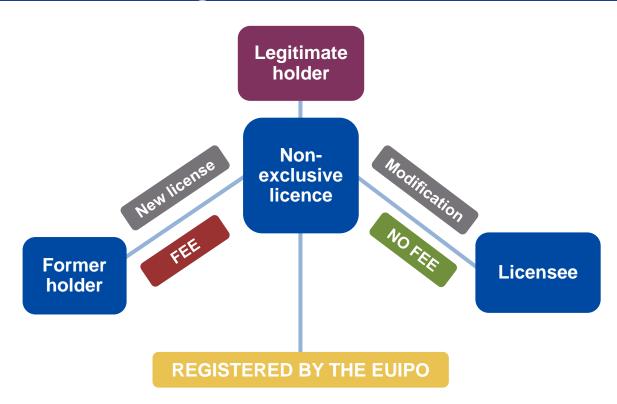
- exploited the design within the EU
- was not acting in bad faith







#### Entry of the licence in the Register







#### Entry of the licence in the Register

When could I ask for the entry of the licence in the Register?

- Anytime, but...
- Hurry up to register your licence before other rights!
- As other entries may prevent you from exercising your rights as a licensee







#### Entry of the licence in the Register

#### What do I send to the EUIPO?

- Former holder: ask to register a licence and submit the licence contract
- ✓ Licensee: ask for a modification of a licence and submit the new licence contract
- Legitimate holder: in general, no proof is needed, but some exceptions exist (for more information see the Guidelines here and here)

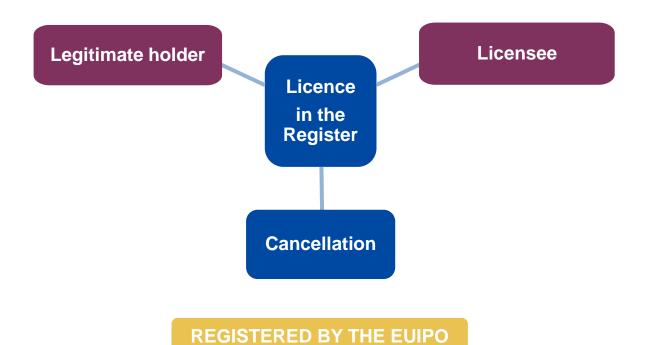








#### Cancellation of the licence in the Register







#### Cancellation of the licence in the Register

# WHO can request a cancellation?

- The legitimate RCD holder(s)
- The licensee

# WHY?

No ex officio cancellations, but only upon request

# WHEN?

- Any time after the entry in the Register of the new holder
- For more information, see the Office's <u>Guidelines</u>









# ENTITLEMENT OR TRANSFER?

**ENTITLEMENT OR INVALIDITY?** 



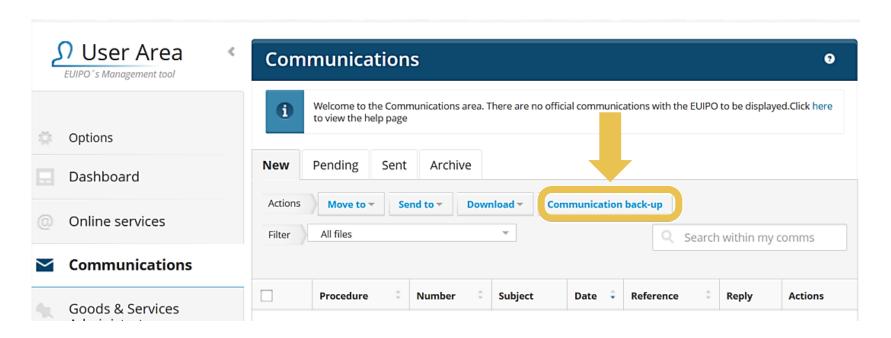


#### **Entitlement v Transfer**

ENTITLEMENT	TRANSFER
Change of ownership	Change of ownership
No fee	Fee No fee (with the Legal Reform)
Article 15 CDR	Article 28 CDR
'Correspondence back-up' in User Area	E-action in Recordals in User Area
Proof of initiated proceedings	Proof of transfer, such as a contract
Three entries / the first entry freezes the RCD	Only one entry that triggers the effect
New holder as of the day of last entry	New holder as of the day of registering the transfer
Licences cease to exist	Licences not affected



#### **Communication back-up**







#### Entitlement v Invalidity for lack of entitlement

ENTITLEMENT	INVALIDITY FOR LACK OF ENTITLEMENT
Requestor - recognised as entitled by a decision of a competent forum	Requestor - recognised as entitled by a decision of a competent forum
Proof of a decision of the respective court or authority	Proof of a decision of the respective court (or authority with the Legal Reform)
Article 15 CDR	Article 25(1)(c) CDR
No fee	Fee
The aimed result is a change in ownership	The aimed result is the design to be invalidated





#### To Sum Up...

- / File the entitlement claim before a national court or authority
- Ask for entries of that claim in the EUIPO Register
- / The former holder/licensee may ask the legitimate holder for a licence
- Ask for a modification of a licence if you had a licence before
- Ask for a cancellation of a licence if you are the new holder and do not want to grant a new licence
- Consider the differences between entitlement and transfer, and entitlement and invalidity action

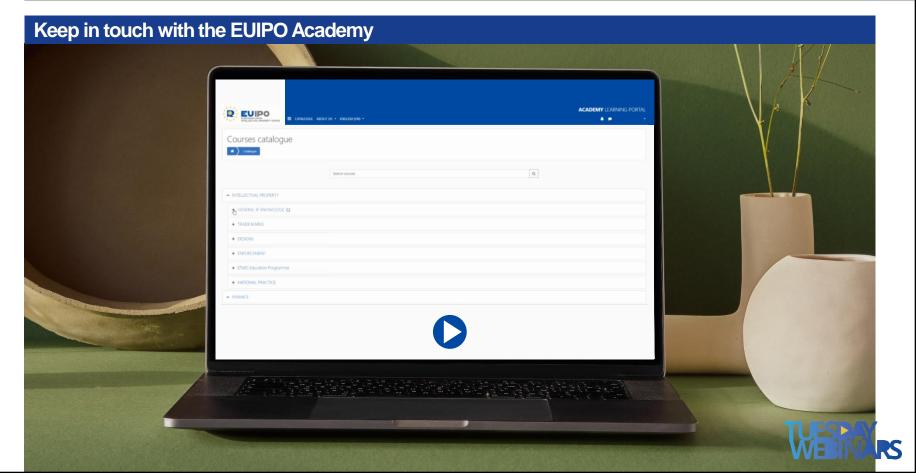
















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**THANK YOU** 



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